

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202

Depositor: Karen Cing-Mars

Karen Cing-Mars 11/28/01
Signature & Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : November 28, 2001
Patrick Raffin et al. : Examiner: Sedley Pyne
Serial No. 09/904,424 : International Business
Machines Corporation
Filed: 7/12/2001 : 2070 Route 52, Zip 482
Hopewell Junction,
New York 12533-6531

TITLE: MULTIDEPOSITION SACVD REACTOR

REQUEST FOR CORRECTION OF FILING RECEIPT

U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Sir:

Upon receiving the filing receipt for the above captioned patent application, it was noted that three of the applicant's names were missing. Please list all the applicant's in the following order; Patrick Raffin, Fabrice Delarue, Jean Marc Waechter, Christophe Balsan and Joel Journe.

No fee is believed to be necessary.

Respectfully submitted,
Patrick Raffin et al.

By:

Margaret A. Pepper

Margaret A. Pepper, Attorney
Registration No. 45,008
Telephone: 845-894-4713

MAP:cjd



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-------------------|----------|------------|------------|
| 09/904,424 | 07/12/2001 | 2812 | 710 | FR9-1999-0125-US1 | 5 | 16 | 3 |

Margaret A. Pepper
International Business Machines Corporation
2070 Route 52, Zip 482
Hopewell Junction, NY 12533

8

CONFIRMATION NO. 3578

FILING RECEIPT



OC000000006909411

Date Mailed: 10/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Patrick Raffin, Joinville Le Pont, FRANCE;
Christophe Balsan, Vaux-Le-Venil, FRANCE;

Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY;

Domestic Priority data as claimed by applicant

Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 00480069.4 07/25/2000

If Required, Foreign Filing License Granted 08/29/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Multideposition sacvd reactor

IBM
E. FISHKILL/PLAW
2001 OCT 18 A 5:26

Preliminary Class

438

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Receipt

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
FR9-1999-0125-US1

JAN 03 2002

In Re Application Of:

Patrick Raffin et al.

Serial No.
09/904,424

Filing Date
7/12/2001

Examiner
Sedley Pyne

Group Art Unit

Invention:

MULTIPOSITION SACVD REACTOR

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
10/15/2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.
FR9-1999-0125-US1

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MULTIPOSITION SACVD REACTOR

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☐ Completion of application fees as calculated below: (FEE WAIVED)

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☐ Surcharge for late payment of filing fee and/or late filing of original declaration or oath

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees _____

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due _____

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
FR9-1999-0125-US1

In Re Application Of:

Patrick Raffin et al.

Serial No.
09/904,424

Filing Date
7/12/2001

Examiner
Sedley Pyne

Group Art Unit

Invention:

MULTIDEPOSITION SACVD REACTOR

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of _____ is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 09-0458
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
A duplicate copy of this sheet is enclosed.

Margaret A. Pepper

Signature

Dated: **November 28, 2001**

**Margaret A. Pepper, Registration No. 45,008
International Business Machines Corporation
2070 Route 52
Hopewell Junction, NY 12533
845-894-4713**

I certify that this document and fee is being deposited
on **11/28/01** with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
U.S. Patent and Trademark Office,
P.O. Box 2327, Arlington, VA 22202

Karen Cinq-Mars 11/28/01

Signature of Person Mailing Correspondence

Karen Cinq-Mars

Typed or Printed Name of Person Mailing Correspondence

cc:



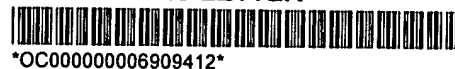
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/904,424 | 07/12/2001 | Patrick Raffin | FR9-1999-0125-US1 |

CONFIRMATION NO. 3578

FORMALITIES LETTER



OC00000006909412

Margaret A. Pepper
International Business Machines Corporation
2070 Route 52, Zip 482
Hopewell Junction, NY 12533

Date Mailed: 10/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- ~~The balance due by applicant is \$130.~~ *362*

Declaration not legible. No Surcharge Required.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE